### Examining One Planning "mistake"

Uttali

"In addition to our analysis, the special investigative team and its associated board of review... found numerous problems with the fire plan, including the fact that the overall complexity of the burn and the resources needed... were incorrect."

(GAO Testimony, July 20, 2000, pg 5)

# Analyzing A "Serious Flaw"

"The calculations" that went into the finding of complexity were seriously flawed... Had those calculations been properly done, there would have been a larger background of personnel and support and review."

(Press Conference, May 18, 2000)

#### Analysis of a "Serious Flaw"

What Exactly Was the Discussion "Serious Flaw?" What Is "Complexity?" of these Planning For Complexity **Topics** What's Wrong With Follow in Complexity? Order Conclusions

#### Complexity Ranges:

Low

### What Was The Serious

Moderate 91-140

High 141-200

40-90

	F		W	?
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Complexity Value	Bandelier Plar	<u>Proce</u>	ess Guideline
Low —	<u> </u>		<u> </u>
Moderate —	<b>→</b> 2		<b>→</b> 3
High —	<b>→</b> 3		<b>→</b> 5
	Weighting	Complexity	
Complexity Element	Factor	Value	Total Points
Safety	5	2	10
Threats to Boundaries	5/1	PROPERTY AND A	10
Fuels and fire Behavior Objectives	A Company of the Comp	2	8
Management Organization	4	2	8
Improvements	3	2	6
Natural, Cultural, Social Va	3	2	6
Air Quality Values	3	2	$\frac{6}{2}$
Logistics	3	3	9
Political Concerns Tactical Operations	2	2	6
Interagency Coordination		2	
micragency deciralitation	Total Comp	lexity Points:	87
100000000000000000000000000000000000000	10 May 12	A THE SECOND	

"The calculations that went into the finding of complexity were seriously flawed... Had those calculations been properly done, there would have been a larger background of personnel and support and

134

review."

### What Is Complexity? Used 60 times in the Policy Guide

(172 pgs.)

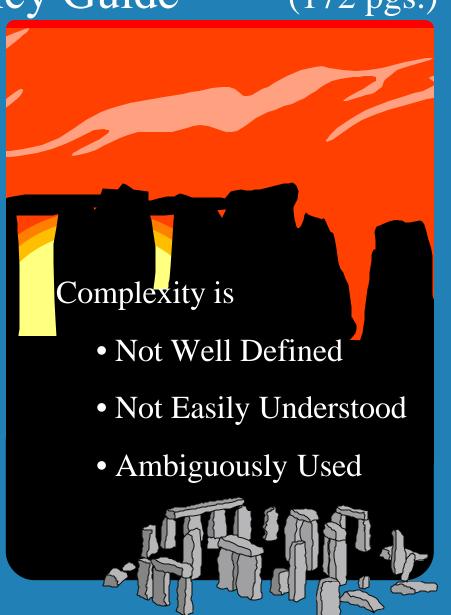
#### **COMPLEXITY:**

Page 45<sup>1</sup> -- "Potential complexity is an estimate of complexity."

Page 77<sup>1</sup> -- "Complexity: Identification of the level of complexity of the prescribed fire."

Chapter 2, Pg 4<sup>2</sup>-- "...Complexity Analysis - The formal process to determine the full complexity rating... 12 variables..."

<sup>&</sup>lt;sup>2</sup> Wildland Fire Management RM – 18, NPS, March 1999



<sup>&</sup>lt;sup>1</sup> Wildland and Prescribed Fire Policy: Implementation Procedures and Implementation Guide, August 1998.

Safety\

**Boundary Threats** 

Fire Behavior

**Objectives** 

**Management Organization** 

**Improvements** 

Natural, Cultural, Social Values

**Air Quality Values** 

**Logistics** 

**Political Concerns** 

**Tactical Operations** 

**Interagency Coordination** 

#### Planning For Complexity

**Potential Complexity** 

Fire Danger Indicator

Fire Size

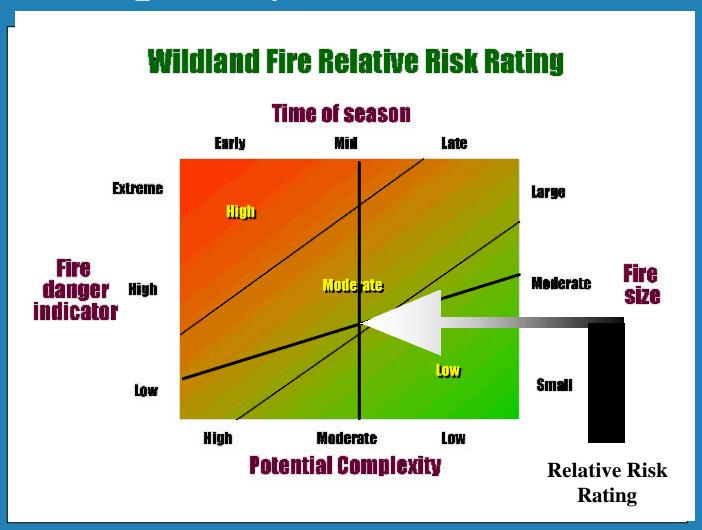
**Time of Season** 

Relative Risk Rating "Relative
Risk Rating
can be used to
obtain a
subjective
assessment of
the risk"

Relationship Between Relative Risk Rating and Prescribed Burn Planning Decisions (i.e., Resources, Contingencies, Management Expertise, Costs, Go/No Go Decisions, etc.) Is Not Specified, Defined, Illustrated, or Noted in the Referenced Documentation.

<sup>&</sup>lt;sup>1</sup> Wildland and Prescribed Fire Management Policy: Implementation Procedures, Reference Guide, August 1998, pg. 43

#### Complexity And Relative Risk



# How Was Complexity Used By Bandelier For Planning?

Complexity Estimation

- 1. Burn Unit Description
- 2. Goals and Objectives
- 3. Range of Acceptable Results
- 4. Project Assessment
- 5. Implementation Actions
- 6. Cooperation and Public Information
- 7. Contingency Plan
- 8. Funding
- 9. Smoke Management and Air Quality
- 10. Monitoring
- 11. Post Burn Activities

(Bandelier Burn Plan\*)

\*Consistent with Policy Guidelines dated August 1998

### How Was Complexity Used By Bandelier For Planning?

Complexity Estimation \_\_\_\_

- **Burn Unit Description**
- 2. Goals and Objectives
- Range of Acceptable Results
- 4. Project Assessment

Complexity

Risk Assessment



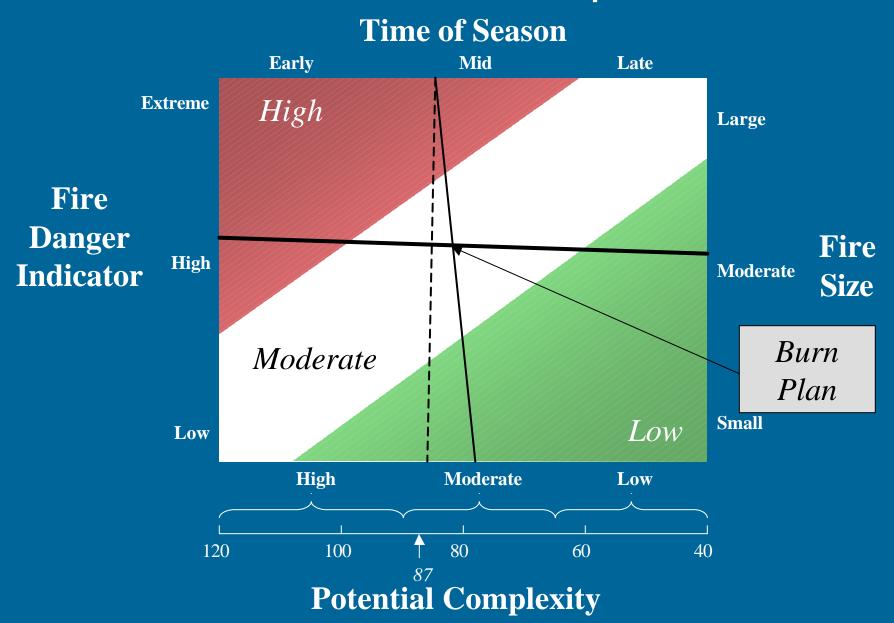
Complexity Used to Estimate Relative Risk

**Probability of Success** 

Consequences of Failure

- Implementation Actions
- Cooperation and Public Information

#### Relative Risk Comparison



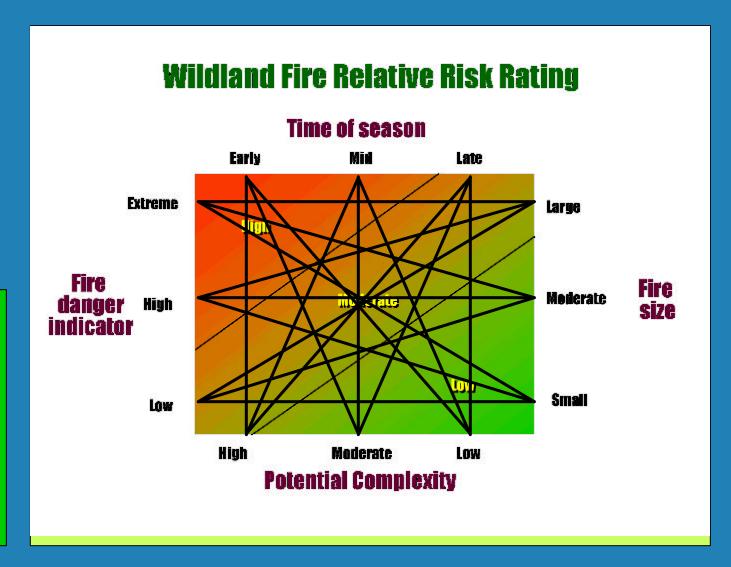
#### Relative Risk Result Distributions

There are 81 Possible "Intercept" Nodes

High = 16 (19%)

Moderate = 45 (56%)

Low = 20 (25%)



#### **Boundary Condition Analysis**

• Relative Risk is Insensitive (i.e., Moderate) to Fire Size AND Fire Danger Indicator when

**Complexity = High** Time of Season = Late

• If Time of Season = Late, Relative Risk Never Exceeds Moderate even when

Complexity = High

Fire Size = Large

**Fire Danger Indicator = Extreme** 

Time of Season Appears to be the Dominate and Most Consequential Factor Determining "Relative Risk"

#### What's Wrong With Complexity?



### Complexity: Defined by 12 Elements



### What Can Complexity "Tell" A Decision Maker?

#### What Does Each Factor Measure?

- 1. Safety Issue Understanding/Resolution Levels
- 2. Potential for Fire to Escape
- 3. Physical Environment, Weather, Fuel Variability, Moisture
- 4. Level of conflicts between goals and physical constraints
- 5. Number of organizations required to do the job
- 6. Risk of damaging manmade assets or people
- 7. Risk of damaging natural, social, or historical assets
- 8. Degree smoke may cause physical or political problems
- 9. Ease of access to the site and project length
- 10. Level of controversy, media interest, neighborhood concerns
- 11.Complexity of ignition pattern and fire containment needs
- 12.Degree of jurisdictional overlaps

What Decision Is To Be Made?

How Tough Is the Physical Environment to Handle?

How Tough Is the Political Environment to Handle?

How Likely Is
Project Failure and
What Are the
Consequences?

#### The Elements of Complexity

**Technical Risks:** How Big of a Challenge is the Physical Environment to Handle? (Weight 47.5%)

**Potential for Fire to Escape** 

Physical Environment, Weather, Fuel Variability, Moisture

Ease of access to the site and project length

Number of organizations required to do the job

Complexity of ignition pattern and fire containment needs

<u>Political Risks:</u> How Big of a Challenge is the Political Environment to Handle? (Weight 15%)

Degree smoke may cause physical or political problems

Level of controversy, media interest, neighborhood concerns

**Degree of jurisdictional overlaps** 

<u>Failure Risks</u>: What is the possibility and consequences of something going wrong? (Weight 37.5%)

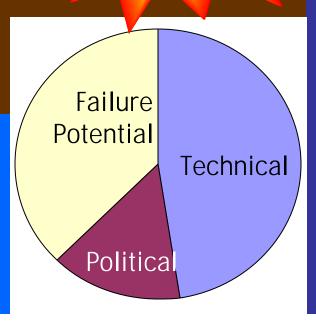
Risk of damaging manmade assets or people

Risk of damaging natural, social, or historical assets

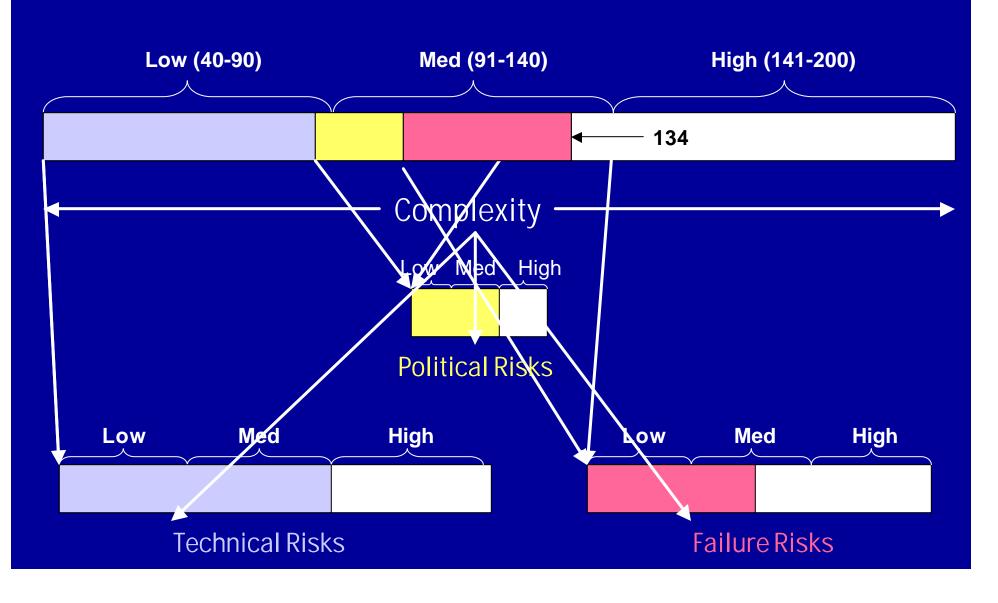
**Safety Issue Understanding/Resolution Levels** 

Level of conflicts between goals and physical constraints

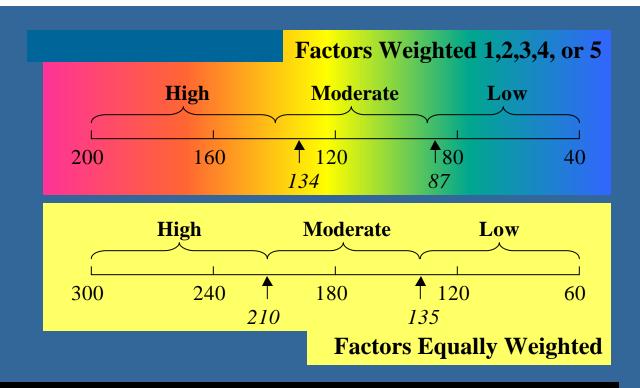
Complexity is



## Too Much Aggregation May Hide Significant Information



Complexity
Weighting
Factors Are
Also
Problematical



- The Weighting Factors Have Little or No Effect on the Resulting Complexity Scale Position
- The Approach Is Highly Aggregated -- Fine Structure Drivers Are Not Revealed
- Critical "Go/no Go" Factors May Be Hidden From the Decision Maker
- The User May Be Misled Into Believing the Method Has Enabled Her/Him to Make a Good Decision

# CONCLUSION: Estimates of Complexity Were Not "Seriously Flawed"

"The calculations that went into the finding of complexity were seriously flawed... Had those been properly done, there would have been a larger background of personnel and support and review."



- Complexity "Flaws" Had No Impact On Planning Quality
- 2. There Is No Documented
  Relationship Between "Complexity"
  Levels and "personnel and support
  and review"
- 3. Blaming "Complexity" As a Serious Flaw in the Planning and Implementation of the Prescribed Fire Cannot Be Supported